

Communication

Broadband Holographic Mode Synthesis Between Adjacent Resonances for a Low-Profile Thin-Microstrip Antenna-Fed Metasurface

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Abstract—This communication proposes a novel technique for designing a broadside-radiating, low-profile grounded-dipole antenna—referred to here as a low-profile thin-microstrip antenna—which achieves wide bandwidth using characteristic mode analysis (CMA) and a holographic metasurface (HM). Initially, a 3×3 subwavelength patch array is stacked on a grounded dipole antenna to achieve wideband impedance matching. There are two resonant frequencies: one corresponding to the thin microstrip and the other to the patch array. To separate these resonant frequencies, a thin-microstrip-oriented slot is implemented in the center patch of the array. The deteriorated impedance matching at the center of the bandwidth (14 GHz) caused by the center patch slot is addressed by integrating an HM around the patch array, which introduces an additional surface-wave propagating mode. The simulated and measured -10 -dB impedance bandwidths are 25.5% and 24.9%, respectively, with an ultrathin profile of $0.025\lambda_0$ at the center frequency.

Index Terms—6G upper-mid band, dipole antenna, holographic metasurface (HM), ultrathin, wideband.

I. INTRODUCTION

Dipole antennas are widely used in wireless communication systems because of their inherently broad bandwidth. To allow surface mounting on the outermost shell of compact devices, grounded dipole antennas that radiate in the broadside direction have been investigated [1], [2], [3], [4], [5], [6], [7], [8]. As operating frequencies increase with the advent of 5G and 6G services, consumer products such as smartphones are becoming progressively thinner, intensifying the demand for low-profile antennas [9], [10], [11], [12], [13]. When a grounded dipole is sufficiently thinned, its electromagnetic behavior converges toward that of a microstrip patch. For clarity, we therefore refer to such a low-profile grounded dipole as a thin microstrip antenna, distinct from a conventional patch antenna. Wideband thin-microstrip antennas have been realized by incorporating high-impedance surfaces (HISs) [3], artificial magnetic conductors (AMCs) [4], [5], or parasitic elements [6], [7].

Meanwhile, a novel category of metasurface antennas (metantennas) with wideband characteristics has been studied [14], [15], [16],

[17], [18], [19]. The subwavelength-sized patch elements are stacked over various types of feed antennas [14], [15], [16], [17], to introduce multiresonance for wideband operation. A few studies have reported metantennas with thin-microstrip feed antennas [18], [19]. In [18], a uniform-sized subwavelength patch array was placed very closely below the thin-microstrip antenna with a distance of 0.0038λ , to introduce the propagation of TM and TE surface wave modes for wideband performance. In [19], a uniform-sized subwavelength patch array was stacked very closely over the thin-microstrip antenna, with a distance of $30 \mu\text{m}$, equivalent to $0.0032\lambda_0$ at the center frequency, to introduce multiresonance through strong electromagnetic coupling between the thin-microstrip antenna and the patch array. However, placing a metasurface very close to the thin-microstrip antenna is so challenging that it requires sophisticated fabrication, especially for high-frequency bands such as 5G and 6G.

The holographic metasurface antenna (HMA) is a traveling-wave antenna that transforms surface waves propagating over the aperture into radiating waves using nonuniform-sized subwavelength elements with modulated surface reactance [20], [21]. The HMA is composed of a surface wave launcher (SWL), which excites surface waves over the wide aperture, and subwavelength elements comprising the holographic metasurface (HM). One of the commonly used HM elements is the square patch.

In this communication, a novel approach for the bandwidth enhancement of a low-profile thin-microstrip antenna is proposed. First, a 3×3 subwavelength patch array is stacked over the thin-microstrip antenna for multiresonance. Second, a slot is implemented in the center patch of the array to separate the two resonant frequencies. It is concluded from the characteristic mode analysis (CMA) that there are two resonant frequencies, corresponding to the thin-microstrip antenna and the patch array, respectively. Finally, the deteriorated impedance matching between the two resonant frequencies is addressed by integrating an HM around the patch array, which introduces a surface-wave propagating mode. As a result, the ultrathin wideband antenna is designed to cover two frequency bands: 12.7–13.25 and 14.8–15.3 GHz, which are candidates for the upcoming 6G upper-mid frequency bands.

II. ANTENNA CONFIGURATION

Fig. 1 shows the entire configuration of the proposed antenna. Two $254\text{-}\mu\text{m}$ -thick Rogers RT/duriod 6010LM dielectric substrates, with $\epsilon_r = 10.2$ and $\tan \delta = 0.0023$, are bonded using a $38\text{-}\mu\text{m}$ -thick Rogers 2929 bonding layer with $\epsilon_r = 2.94$ and $\tan \delta = 0.004$. Such high-permittivity substrates were chosen to shorten the guided wavelength, thereby reducing the overall antenna dimensions. A horizontally oriented dipole antenna is printed on the lower dielectric substrate, with one arm connected to the bottom ground via a via and the other arm connected to one end of the coplanar waveguide (CPW) line implemented in the bottom ground. The metasurface, composed of square patches with a unit cell period of 3 mm in both the x - and y -axis directions, is stacked on top of the upper dielectric substrate. Notably, a thin-microstrip-oriented slot is implemented in the center

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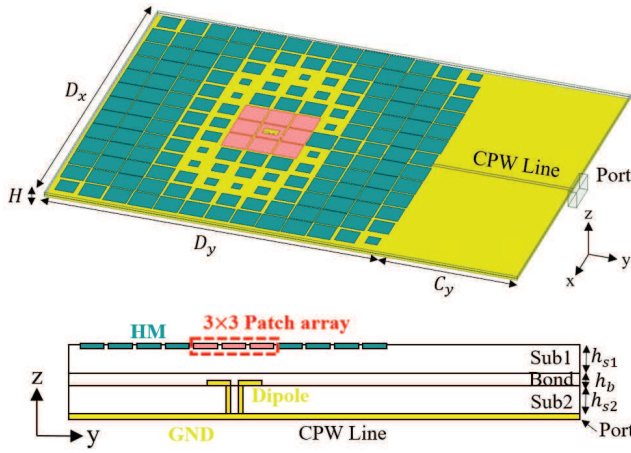


Fig. 1. Configuration of the proposed ultrathin wideband antenna, highlighting its key design features. $D_x = D_y = 40$ mm, $H = 0.546$ mm, $C_y = 15$ mm, $h_{s1} = h_{s2} = 0.254$ mm, and $h_b = 0.038$ mm.

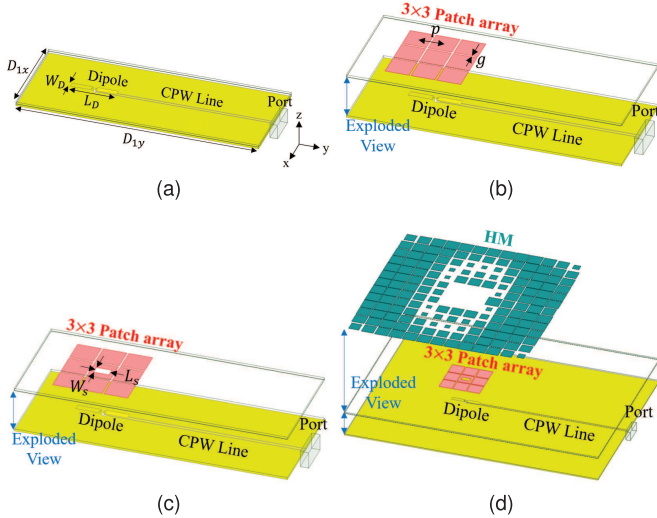


Fig. 2. Evolution of the proposed antenna. (a) Ant. I: a low-profile grounded dipole (thin-microstrip) antenna. (b) Ant. II: a 3×3 patch array stacked on Ant. I. (c) Ant. III: a slot implemented in the center patch of Ant. II. (d) Ant. IV: an HM integrated around Ant. III. $D_{1x} = 13$ mm, $D_{1y} = 17$ mm, $W_D = 0.75$ mm, $L_D = 6.1$ mm, $p = 3$ mm, $g = 0.2$ mm, $L_s = 1.8$ mm, and $W_s = 1$ mm.

patch of the metasurface. The CPW line and the antenna substrates are extended in the y -axis direction for connector integration in measurements. The aperture area of the antenna is 40×40 mm, or $1.87\lambda_0 \times 1.87\lambda_0$, where λ_0 refers to the free-space wavelength at 14 GHz. The total thickness of the antenna is $0.025\lambda_0$.

Fig. 2 shows the evolution of the proposed antenna. Ant. I is a low-profile thin-microstrip antenna, which is not yet impedance-matched. The length and width of the thin microstrip are 6.1 and 0.75 mm, respectively. A 3×3 subwavelength patch array with a uniform patch size of 2.8×2.8 mm and 0.2-mm gaps between patches is stacked on Ant. I, referred to as Ant. II. The center-to-center distance between these patches is 3 mm. A thin-microstrip-oriented slot is then implemented in the center patch of Ant. II, creating Ant. III. Finally, Ant. IV incorporates an HM around Ant. III. The HM consists of $13 \times 13 - 3 \times 3 = 160$ nonuniformly sized square patches with a constant center-to-center distance of 3 mm.

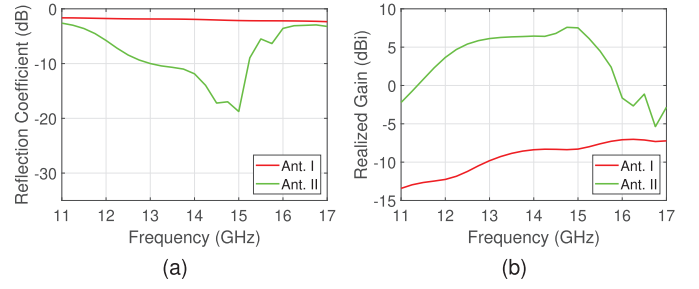


Fig. 3. (a) Simulated reflection coefficients and (b) realized gain of Ant. I and Ant. II.

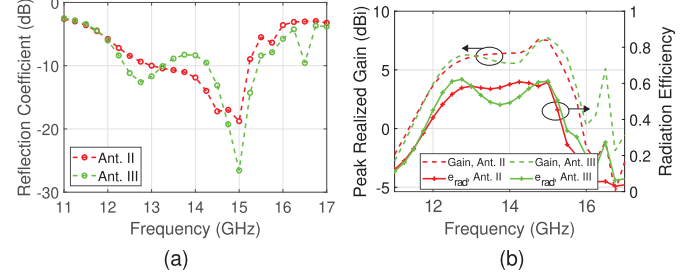


Fig. 4. (a) Simulated reflection coefficients and (b) realized gain and radiation efficiency of Ant. II and Ant. III.

III. LOW-PROFILE THIN MICROSTRIP WITH PATCH ARRAY

A. Integration of 3×3 Patch Array

The initial impedance matching of the thin-microstrip antenna is achieved with a 3×3 patch array stacked on the thin-microstrip antenna, as shown in Fig. 3. Ant. II exhibits a decent -10 -dB impedance bandwidth of 15.8%, ranging from 13.0 GHz to 15.2 GHz. The chosen design parameters, $p = 3$ mm and $g = 0.2$ mm, are optimal for covering 12.7–13.25 and 14.8–15.3 GHz, which are two candidates for the upcoming 6G upper-mid frequency bands.

B. Ant. II to Ant. III: Implementation of a Slot in the Center Patch

To further increase the bandwidth of Ant. II, a thin-microstrip-oriented slot is implemented in the center patch of the array, creating Ant. III. Even if only the center patch is slotted, that slot's proximity to the underlying thin microstrip provides sufficient control over the electromagnetic coupling between the patch and the thin microstrip, and thus over the antenna's impedance matching. Fig. 4(a) shows that adopting this slot separates the two resonant frequencies of the antenna. However, impedance matching of the antenna at the midpoint between the two resonant frequencies, including 14 GHz, is deteriorated, which will be addressed by integrating a holographic mode in Section IV. Therefore, the total -10 -dB impedance bandwidth of Ant. III is 14.4% (from 12.4 to 13.3 GHz and from 14.3 to 15.4 GHz), which is similar to that of Ant. II. The realized gain and radiation efficiency are also reduced at the center frequency because of poor impedance matching, as shown in Fig. 4(b). Considering moderate separation of the two resonant frequencies and moderate deterioration of impedance matching at those frequencies, W_s and L_s were determined to be 1 and 1.8 mm, respectively.

C. CMA on Ant. II and Ant. III

To identify the resonant modes contributing to the radiation of Ant. II and Ant. III, CMA was performed using the CST Multilayer Solver [14]. Fig. 5 shows the geometric models, modal significance (MS), and modal weighting coefficients (MWCs) for the first ten characteristic modes above 11 GHz for both the antennas, assuming an infinite

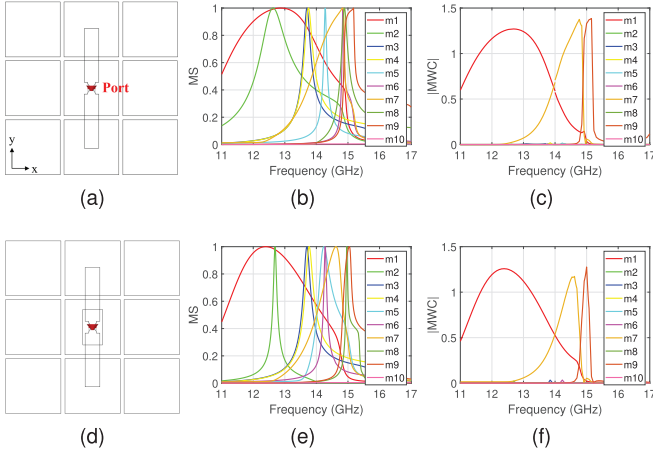


Fig. 5. Geometric modeling for CMA: (a) Ant. II and (d) Ant. III. MS for (b) former and (e) latter. MWC for (c) former and (f) latter.

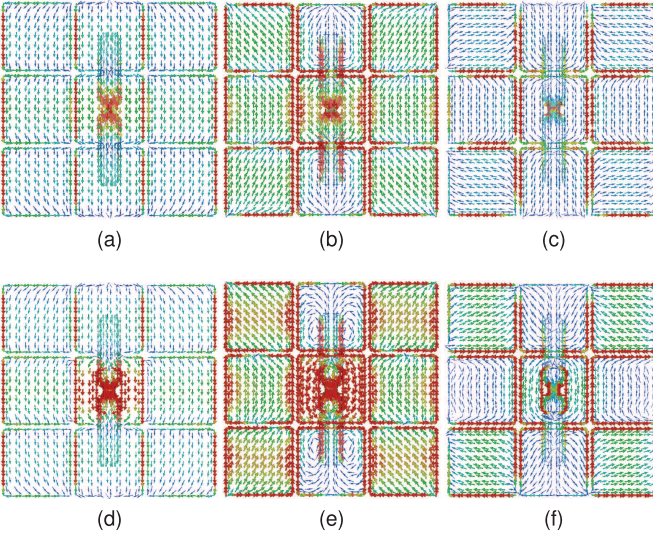


Fig. 6. Y-polarized radiating modal currents on Ant. II: (a) mode 1 at 12.5 GHz, (b) mode 7 at 14.5 GHz, and (c) mode 9 at 15 GHz, and on Ant. III: (d) mode 1 at 12.5 GHz, (e) mode 7 at 14.5 GHz, and (f) mode 9 at 15 GHz. Plots (c) and (f) with a 0–500-A/m range, while the others are shown with a 0–100-A/m range.

bottom ground plane. Among these modes, only three—modes 1, 7, and 9—are strongly excited and contribute significantly to radiation, as indicated by the MWC plots, while the remaining modes remain suppressed. Fig. 6 shows the modal current distributions for these resonant modes on Ant. II and Ant. III at their respective resonant frequencies. Mode 1 radiates near 12.5 GHz with y-polarization and is primarily excited by the thin microstrip. Its current distribution resembles that of a typical half-wavelength dipole, with minimal coupling to the 3×3 patch array. Mode 7, radiating near 14.5 GHz, is one of the resonant modes of the 3×3 patch array and also exhibits y-polarization. Mode 9 radiates with mixed polarizations; however, y-polarization is dominant in Ant. III, as y-polarized currents are concentrated on the center patch of the 3×3 array, particularly around the slot.

The modal radiation patterns of modes 1 and 7, shown in Fig. 7, exhibit the desired broadside radiation characteristics. Mode 9 radiates with y-polarization in the broadside direction and with x-polarization in the endfire directions. As shown in Fig. 4(a), mode 9 actually radiates at a shifted frequency of 15.75 GHz due to discrepancies between the simplified CMA model and the HFSS-simulated model. Similar frequency shifts are observed for mode 1 (to

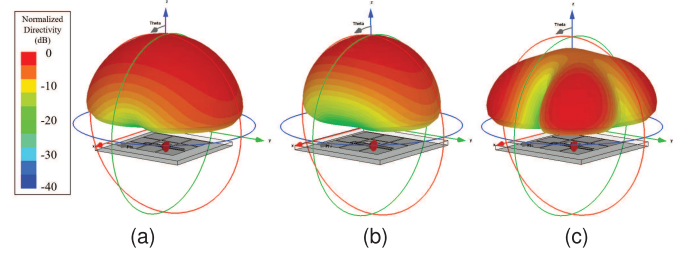


Fig. 7. Modal radiation patterns of Ant. III for (a) mode 1 at 12.5 GHz, (b) mode 7 at 14.5 GHz, and (c) mode 9 at 15 GHz.

12.75 GHz) and mode 7 (to 15.0 GHz). Notably, the shifted frequency of mode 9 lies outside the operational band of Ant. III. Therefore, broadside radiation and a wide impedance bandwidth—from 12.0 to 15.5 GHz—can be simultaneously achieved. These modes, along with the holographic mode used in Ant. IV, will be revisited in Section V.

IV. HM FOR IMPEDANCE MATCHING AT CENTER FREQUENCY

A. Holography Principle

The concept of optical holography has been implemented in the design of traveling antennas [20], [21]. An HMA consists of an SWL and an HM. The SWL excites surface wave propagation over the HM. The HM, composed of nonuniform subwavelength elements, then transforms the surface wave into the desired radiating wave. Here, the surface wave initially excited over the aperture is referred to as the reference wave (ψ_{ref}), while the required surface wave to be distributed over the aperture to generate the desired radiating wave is referred to as the object wave (ψ_{obj}). The surface impedance of each HM element is modulated by changing its design parameters to transform ψ_{ref} into ψ_{obj} over the aperture, with their impedance assigned as follows:

$$Z_s = 1j \times [X + M \times \Re(\psi_{ref}^* \psi_{obj})] \quad (1)$$

where X is the average surface reactance obtained for the chosen HM element type by varying its physical parameters, and M is the difference between the maximum available surface reactance and X .

Assuming sinusoidal waves with uniform amplitude, that is, $\psi_{ref} = \exp(j\phi_{ref})$ and $\psi_{obj} = \exp(j\phi_{obj})$, the following equation can be rewritten as follows:

$$Z_s = 1j \times [X + M \times \cos(-\phi_{ref} + \phi_{obj})] \quad (2)$$

where ϕ_{ref} and ϕ_{obj} are the phase distributions on the aperture for the reference and object waves, respectively.

B. Selected HM Unit Cell and Its Surface Impedance Range

Fig. 8 shows the selected square patch-type HM element, which is commonly used for its effectiveness in TM mode surface wave modulation. The simulation setup for the HM unit cell using the HFSS eigenmode solver is also shown in the figure. By assigning a phase difference, ϕ , in the x -axis direction, a dispersion diagram for the HM unit cell without a patch is first drawn, as shown in Fig. 8(b). To facilitate the integration of the HM with Ant. III, the unit cell size, a , is chosen to be 3 mm, which yields $\phi = 51^\circ$ at 14 GHz. This unit cell is smaller, in terms of wavelength, than the one used in [20]. Next, as shown in Fig. 8(c), the TM mode frequency f_i of the HM unit cell was obtained by sweeping the design parameter g_{HM} , confirming that f_i and $\omega_i = 2\pi f_i$ depend on g_{HM} . Finally, the surface impedance, Z_s , of the HM unit cell is calculated as a function of g_{HM} as follows:

$$Z_s = Z_0 \sqrt{1 - (\phi c / a \omega_i)^2} \quad (3)$$

as shown in Fig. 8(d), where Z_0 is the free-space wave impedance of 377Ω . The surface reactance X_s is calculated to range from 61 to 297Ω , resulting in $X = 179 \Omega$ and $M = 118 \Omega$.

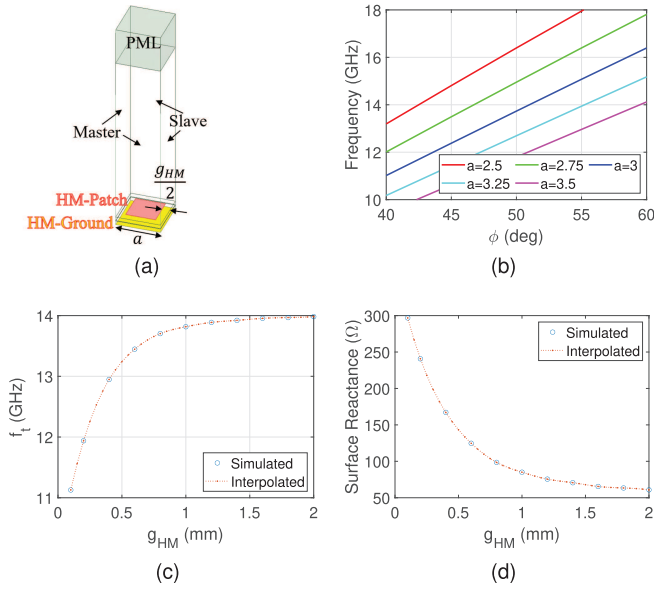


Fig. 8. (a) Square-patch type HM unit cell and its simulation setup. (b) Dispersion diagram for the HM unit cell without the patch, varying unit cell size a (unit: mm). (c) TM mode frequency f_t for the HM unit cell with the patch, $a = 3$ mm, $\phi = 51^\circ$, and varying g_{HM} (unit: mm). (d) Calculated surface reactance with varying g_{HM} .

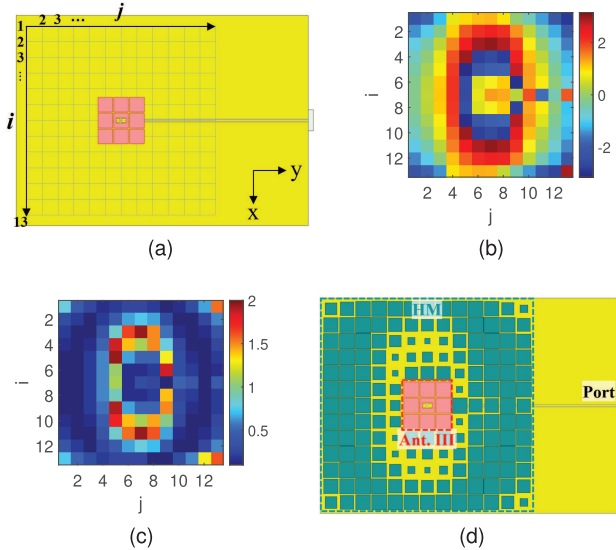


Fig. 9. (a) 40×40 mm aperture including Ant. III and (b) exported ψ_{ref} phase distribution (ϕ_{ref}) on the aperture. (c) g_{HM} distribution calculated on the aperture and (d) final Ant. IV design including HM.

C. Design and Integration of HM

The reference wave ψ_{ref} excited on the grounded substrate aperture around Ant. III is exported from the HFSS simulation at the center positions of each HM square patch. The ψ_{ref} phase distribution (ϕ_{ref}) and the corresponding g_{HM} distribution, calculated from (1)–(3) on the 40×40 mm aperture, are shown in Fig. 9. Notably, a uniform phase distribution is assumed for ψ_{obj} for broadside radiation. It is evident from Fig. 10(a) that impedance matching at 14 GHz is indeed improved by integrating the HM around Ant. III. This bandwidth is similar to the theoretical maximum bandwidth from 12 to 15.5 GHz predicted by the CMA in Section III-A. The -10 -dB impedance bandwidth of Ant. IV is now widened to 25.5%, ranging from 12.19 to 15.76 GHz, which is much wider than the bandwidths of Ant. I–III. Fig. 10(b) shows that the antenna gain also increased around 14 GHz

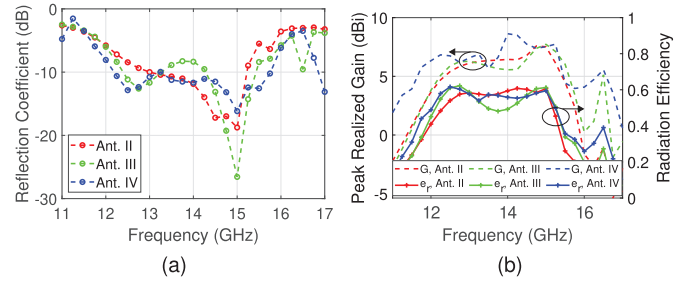


Fig. 10. (a) Simulated reflection coefficients and (b) realized gain and radiation efficiency of Ant. II, Ant. III, and Ant. IV.

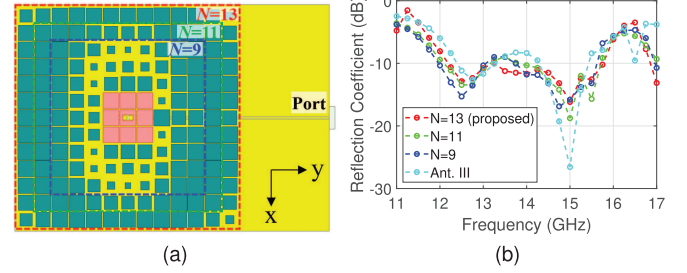


Fig. 11. Ant. IV simulation with varying HM sizes of $N \times N - 3 \times 3$ (where $N = 9, 11, 13$ (proposed)). (a) Illustration of HM modeling with varying N and (b) simulated reflection coefficients.

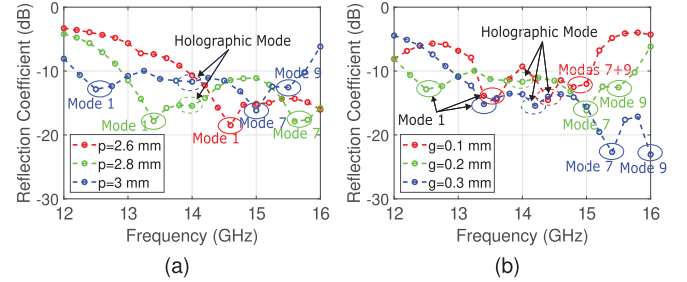


Fig. 12. Simulated reflection coefficient results for Ant. IV with varying 3×3 patch array. (a) Period p and (b) gap g [refer to Fig. 1(b)].

due to the additional surface wave propagation mode introduced by HM integration, which contributed to antenna radiation. Specifically, the antenna gain increased by 3.07 dB, from 5.56 to 8.63 dBi, at 14 GHz. It is also observed that radiation efficiency around 14 GHz increased as impedance matching improved, increasing by 6.6% at 14 GHz and 8.7% at maximum. Notably, the radiation efficiency of Ant. IV exceeds 50%, which is the criterion required for practical antennas to meet [22], across most of the bandwidth (from 12.02 to 15.25 GHz).

Fig. 11 shows the influence of HM size on the bandwidth of Ant. IV. The HM size is varied by changing N , where the HM is composed of $N \times N$ unit cells, excluding the 3×3 patch array at the center. The results indicate that impedance matching at the two resonant frequencies, corresponding to the thin microstrip and the 3×3 patch array resonance, is maintained regardless of HM size, while impedance matching at 14 GHz improves. The HM size $N = 13$ is chosen to achieve a wide and continuous bandwidth across the band without losing impedance matching level.

Fig. 12 shows the simulated reflection coefficient results from the parametric studies on the 3×3 patch array period p and gap g noted in Fig. 12(b). It can be observed that the holographic mode frequency remains around 14 GHz regardless of the 3×3 patch array period and gap, while the radiating frequencies of modes 1 and 7 vary significantly as p and g change.

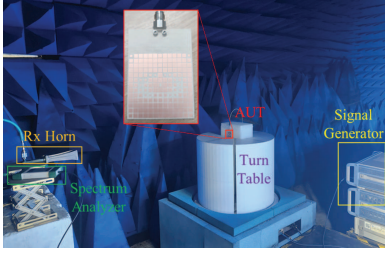


Fig. 13. Photographs of the measurement setup and the fabricated sample.

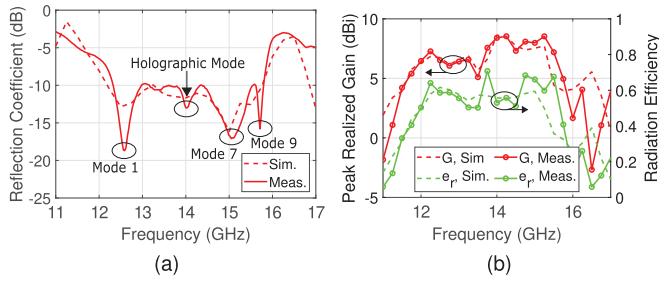


Fig. 14. Simulated and measured (a) reflection coefficients and (b) realized gain and radiation efficiency of Ant. IV.

V. FABRICATION AND MEASUREMENT

A. Measurement Results

Fig. 13 shows the fabricated Ant. IV sample and its measurement setup. The end-launch connector is integrated into the edge of the sample where CPW line is extended. Fig. 14 shows that the simulated and measured reflection coefficients, gain, and radiation efficiency are in good agreement. The simulated and measured -10 -dB impedance bandwidths are 25.5% and 24.9%, respectively, ranging from 12.19 to 15.76 GHz and from 12.32 to 15.80 GHz. Notably, Fig. 14(a) shows that the three resonant modes of Ant. III—mode 1 at 12.6 GHz, mode 7 at 15.1 GHz, and mode 9 at 15.7 GHz—as well as the holographic mode at 14.0 GHz, are successfully synthesized as intended. The simulated and measured peak gains are 8.6 and 8.5 dBi at 14.0 and 14.25 GHz, respectively. Notably, the measured radiation efficiency exceeds 50% across most of the bandwidth (from 11.97 to 15.67 GHz), with peak simulated and measured radiation efficiencies of 61.6% and 70.0%. The radiation efficiency appears to exhibit higher error, which is due to the fact that a 1-dB error in measured gain can lead to a radiation efficiency error greater than 10%.

Fig. 15 shows the simulated and measured E- and H-plane radiation patterns of Ant. IV at 13, 14, and 15 GHz. They are in good agreement as well. The peak gain of 8.5 dBi at 14 GHz occurs at the main beam in the direction of $(\theta, \phi) = (15^\circ, 270^\circ)$. Although the HM was designed assuming a uniform ϕ_{obj} , which theoretically represents ideal broadside radiation $((\theta, \phi) = (0^\circ, 0^\circ))$ with infinite gain, the small HM size and ultrathin antenna profile restrict surface wave control by the HM and the maximum achievable gain. Nevertheless, the integration of the HM successfully contributed to the improvement of gain at the design frequency by focusing the main beam closer to the broadside radiation direction. Notably, the cross-polarization discrimination (XPD) level is very good in both the E- and H-plane, being higher than 25 and 15 dB, respectively. Fig. 15(d) presents the E-plane radiation patterns at frequencies around the holographic mode, specifically at 13.5, 14, and 14.5 GHz. The main beams at these frequencies are directed along the (θ, ϕ) direction of $(20^\circ, 270^\circ)$, $(15^\circ, 270^\circ)$, and $(14^\circ, 270^\circ)$, respectively. Although the proposed antenna radiates via a leaky mode at these frequencies, frequency scanning is relatively small because holographic mode radiation is dominant only over a narrow bandwidth. Outside this

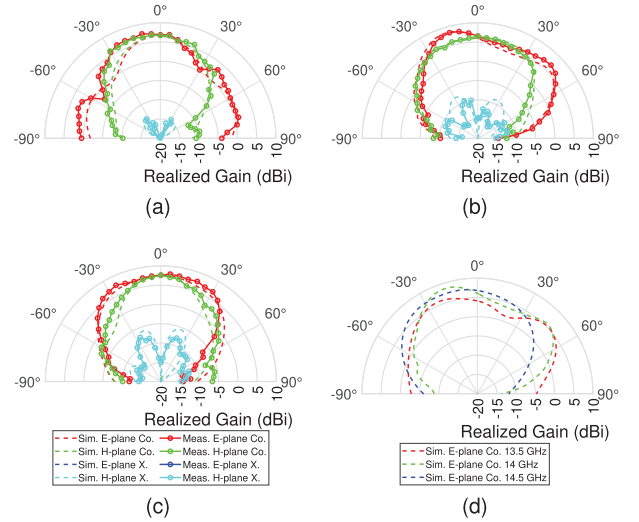


Fig. 15. (a)–(c) Simulated and measured E- and H-plane radiation patterns of Ant. IV at 13, 14, and 15 GHz, respectively. (d) Simulated E-plane patterns at 13.5, 14, and 14.5 GHz.

TABLE I
COMPARISON OF LOW-PROFILE WIDEBAND THIN-MICROSTRIP
ANTENNAS WITH BROADSIDE RADIATION

Ref.	Center Frequency (GHz)	Profile (λ_0)	Impedance Bandwidth (%)	**BW / Profile (%)	Peak Gain (dBi)
[4]	2.27	0.148	52.9	3.6	8.1
[5]	6	0.12	40	3.3	9.9
[6]	0.95	0.067	57.6	8.6	***4.6
[7]	2.63	0.22	110	5.0	10.5
[8]	1.5	0.0026	1.32	5.1	5.4
[18]	5	0.07	33.6	4.8	11.5
[19]	32	0.081	50	6.2	10.8
This work	14	0.025	24.9	9.8	8.5

* Free-space wavelength at the center frequency.

** Figure-of-merit, defined as the relative bandwidth that the antenna can achieve per $0.01\lambda_0$ of the antenna profile at the center frequency [23].

*** Assumed from the gain plot.

bandwidth, adjacent resonant modes 1 and 7 take over, thereby maintaining broadside radiation.

B. Comparison to Other Low-Profile Thin-Microstrip Antennas

Table I shows a comparison of state-of-the-art low-profile wideband thin-microstrip antennas, including the proposed Ant. IV. Because there is a tendency for the -10 -dB impedance bandwidth to decrease as the antenna profile decreases, the figure of merit (FOM) from [23] is used for a comprehensive evaluation of profile and bandwidth. Specifically, the FOM represents the relative bandwidth that an antenna can achieve per $0.01\lambda_0$ of the antenna profile, where λ_0 is the free-space wavelength at the center frequency. It is calculated as follows:

$$FOM = \frac{BW (\%) }{Profile (\lambda_0) / 0.01}. \quad (4)$$

The proposed antenna exhibits the best FOM value of 9.8 with a moderate peak gain of 8.5 dBi. Moreover, it is designed with the lowest profile of $0.025\lambda_0$ or 0.546 mm, except for the antenna in [8], which has a narrow bandwidth. This makes it suitable for integration with many ultrathin wireless devices and systems that operate in 6G upper-mid frequency bands.

By combining CMA with an HM, our approach achieves an ultrathin profile with a higher FOM than AMC-based designs [4],

[5], frequency-selective-surface (FSS)-like metasurface-based designs [18], [19], or parasitic patch-based designs [7], [8]. In addition, it provides precise mode management and enhanced tuning flexibility through a programmable HM layer. While parasitic elements require precise spacing and may introduce unwanted coupling or shift resonances, the HM layer preserves the original resonances while adding the targeted holographic mode. Through careful optimization, we have achieved a compact, manufacturable design that enhances bandwidth and gain without additional complexity.

VI. CONCLUSION

A low-profile wideband thin-microstrip antenna with broadside radiation is proposed based on CMA and the integration of a holographic metasurface (HM). A 3×3 subwavelength patch array was initially stacked on the horizontally oriented thin-microstrip antenna for impedance matching with an ultrathin profile. The CMA revealed two resonant frequencies corresponding to the thin-microstrip and patch array resonances, respectively. Then, a thin-microstrip-oriented slot was implemented to separate the resonant frequencies and achieve a wider bandwidth. The deteriorated impedance matching between the two resonant frequencies, around 14 GHz, was improved by integrating HM around the patch array. The HM, designed for 14 GHz, enhanced impedance matching by introducing a surface wave propagating mode, which also contributed to the broadside radiation of the antenna. This bandwidth-enhancing technique, based on CMA and HMs, has significant potential for application in other types of antennas to simultaneously achieve an ultrathin profile and wideband performance.

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